



TML: 4177: 2019

October 24, 2019

The Secretary, Listing Department
BSE Limited Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai - 400 001.
Maharashtra, India.
Scrip Code: 513434

The Manager, Listing Department
National Stock Exchange of India Limited
Exchange Plaza, 5th Floor, Plot No. C/1,
G Block, Bandra-Kurla Complex, Bandra (E),
Mumbai - 400 051.
Maharashtra, India.
Symbol: TATAMETALI

Dear Sir/ Madam,

Sub: Certificate under Regulation 40(9)

Please find attached the Certificate under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended September 30, 2019, as received from a Practicing Company Secretary.

This is for your information and record.

Yours faithfully,
For **Tata Metaliks Limited**

Sankar Bhattacharya
Chief - Corporate Governance &
Company Secretary

Encl.: as above

TATA METALIKS LIMITED

Tata Centre 43 J L Nehru Road Kolkata 700 071 India
Tel 91 33 6613 4200 Fax 91 33 2288 4372 e-mail : tml@tatametaliks.co.in
CIN L27310WB1990PLC050000

P. V. SUBRAMANIAN

B.Com., LL.B., ACS.

Company Secretary in Whole-time Practice

81/8, Regent Estate,
Kolkata-700 092, India.

Mobile: 98300 26425

Email: pvsm17@rediffmail.com

CERTIFICATE

**{UNDER REGULATION 40(9)
OF THE SECURITIES AND EXCHANGE BOARD OF INDIA
(LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS,
2015}}**

FOR THE HALF YEAR ENDED 30TH SEPTEMBER, 2019.

TO WHOM IT MAY CONCERN

I have examined the Register of Transfers, files and other documents relating to TATA METALIKS LIMITED ("the Company") being maintained by R&D Infotech Pvt. Ltd. ("RTA") pertaining to transfer of equity shares of the Company for the period from 01.04.2019 to 30.09.2019 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and, also based on the information provided by the Company, I hereby certify that:-

- (A) there had been no case of share certificates relating to the transfer deeds lodged prior to 1st April, 2019 that were re-lodged and received thereafter during the period from 1st April, 2019 to 30th September, 2019; and
- (B) during this period there had been no case of lodgment of shares for subdivision, consolidation, exchange, renewal or endorsement of calls/allotment monies.

Date: 23rd October, 2019.

Place: Kolkata.



P.V. Subramanian

(P V Subramanian)

Company Secretary in Whole-time Practice

CP No.:2077 / ACS-4585

UDIN: A004585A000150654